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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known	
Application Number	10/764,728
Filing Date	January 26, 2004
First Named Inventor	Q. Ping Dou
Group Art Unit	1614
Examiner Name	
Attorney Docket Number	USF-T195XC1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
AO	R1	CHEN, C. *et al.* "Activation of antioxidant-response element (ARE), mitogen-activated protein kinases (MAPKs) and caspases by major green tea polyphenol components during cell survival and death" *Arch. Pharm. Res.*, 2000, 23(6):605-612.	
AO	R2	CHUNG, J.Y. *et al.* "Mechanisms of inhibition of the Ras-MAP kinase signaling pathway in 30.7b Ras 12 cells by tea polyphenols (-)-epigallocatechin-3-gallate and theaflavin-3,3'-digallate" *FASEB J.*, 2001, 15:2022-2024.	
AO	R3	MASUDA, M. *et al.* "Effects of epigallocatechin-3-gallate on growth, epidermal growth factor receptor signaling pathways, gene expression, and chemosensitivity in human head and neck squamous cell carcinoma cell lines" *Clin. Cancer Res.*, 2001, 7:4220-4229.	
AO	R4	YANG, C.S. and WANG, Z-Y "Tea and Cancer" *J. Natl. Cancer Inst.*, 1993, 85(13):1038-1049.	
AO	R5	YU, R. *et al.* "Activation of mitogen-activated protein kinases by green tea polyphenols: potential signaling pathways in the regulation of antioxidant-responsive element-mediated Phase II enzyme gene expression" *Carcinogenesis*, 1997, 18(2):451-456.	
	R6		
	R7		
	R8		
	R9		
	R10		
	R11		
	R12		
	R13		

Examiner Signature	/Amelia Owens/	Date Considered	02/27/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] Applicant's unique citation designation number (optional). [2] Applicant is to place a check mark here if English language Translation is attached.

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AO	R1	KAZI, A. *et al.* "Inhibition of Bcl-X_L phosphorylation by tea polyphenols or epigallocatechin-3-gallate is associated with prostate cancer cell apoptosis" *Mol. Pharmacology*, 2002, 62(4):765-771.	
AO	R2	KAZI, A. *et al.* "Potential molecular targets of tea polyphenols in human tumor cells: significance in cancer prevention" *In Vivo*, 2002, 16(6):397-403.	
AO	R3	SMITH, D.M. *et al.* "Docking studies and model development of tea polyphenol proteasome inhibitors: Applications to rational drug design" *Proteins*, 2004, 54:58-70.	
AO	R4	SMITH, D.M. *et al.* "Synthetic analogs of green tea polyphenols as proteasome inhibitors" *Mol. Med.*, 2002, 8(7):382-392.	
AO	R5	SMITH, D.M. and DOU, Q.P. "Green tea polyphenol epigallocatechin inhibits DNA replication and consequently induces leukemia cell apoptosis" *Int. J. Mol. Med.*, 2001, 7(6):645-652.	
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AO	R1	ADAMS, J. *et al.* "Proteasome inhibitors: A novel class of potent and effective antitumor agents" *Cancer Res.*, 1999, 59:2615-2622.	
AO	R2	ALMOND, J.B. and G.M. COHEN "The proteasome: a novel target for cancer chemotherapy" *Leukemia*, 2002, 16:433-443.	
AO	R3	DOU, Q.P. *et al.* "Interruption of tumor cell cycle progression through proteasome inhibition: implications for cancer therapy" *Prog. Cell Cycle Res.*, 2003, 5:441-446.	
AO	R4	DOU, Q.P. and B. LI "Proteasome inhibitors as potential novel anticancer agents" *Drug Resis. Updates*, 1999, 2:215-223.	
AO	R5	KAZI, A. *et al.* "Inhibition of the proteasome activity, a novel mechanism associated with the tumor cell apoptosis-inducing ability of genistein" *Biochem. Pharm.*, 2003, 66:965-976.	
AO	R6	KAZI, A. *et al.* "A natural *musaceas* plant extract inhibits proteasome activity and induces apoptosis selectively in human tumor and transformed, but not normal and non-transformed, cells" *Inter. J. Mol. Med.*, 2003, 12:879-887.	
AO	R7	KISSELEV, A. and A.L. GOLDBERG "Proteasome inhibitors: from research tools to drug candidates" *Chem. & Biol.*, 2001, 8:739-758.	
AO	R8	LI, B. and Q.P. DOU "Bax degradation by the ubiquitin/proteasome-dependent pathway: involvement in tumor survival and progression" *PNAS*, 2000, 97(8):3850-3855.	
AO	R9	NAM, S. *et al.* "Ester bond-containing tea polyphenols potently inhibit proteasome activity *in vitro* and *in vivo*" *J. Biol. Chem.*, 2001, 276:13322-13330.	
AO	R10	PAGANO, M. *et al.* "Role of the ubiquitin-proteasome pathway in regulating abundance of the cyclin-dependent kinase inhibitor p27" *Science*, 1995, 269:682-685.	
AO	R11	VERMA, I.M. *et al.* "Rel/NF-κB/IκB family: intimate tales of association and dissociation" *Genes & Devel.*, 1995, 9:2723-2735.	
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